# Public Key Systems

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#### We briefly discuss the following

- o Merkle-Hellman knapsack
- o Diffie-Hellman key exchange
- o Arithmetica key exchange
- o RSA
- Rabin cipher
- NTRU cipher
- ElGamal signature scheme

## Public Key Crypto

- Some public key systems provide it all, encryption, digital signatures, etc.
   o For example, RSA
- Some are only for key exchange
   For example, Diffie-Hellman
- Some are only for signatures
   o For example, ElGamal
- All of these are public key systems

## Public Key Systems

- Here we present different systems and mention basic attacks/issues
- In next sections we consider more substantial attacks, namely,
  - Factoring (RSA, Rabin)
  - o Discrete log (Diffie-Hellman, ElGamal)
  - RSA implementation attacks

#### Merkle-Hellman Knapsack

#### Merkle-Hellman Knapsack

One of first public key systems
 Based on NP-complete problem
 Original algorithm is weak

 Lattice reduction attack

 Newer knapsacks are more secure

 But nobody uses them...
 Once bitten, twice shy

## Knapsack Problem

Given a set of n weights W<sub>0</sub>,W<sub>1</sub>,...,W<sub>n-1</sub> and a sum S, is it possible to find a<sub>i</sub> ∈ {0,1} so that S = a<sub>0</sub>W<sub>0</sub>+a<sub>1</sub>W<sub>1</sub> +...+ a<sub>n-1</sub>W<sub>n-1</sub> (technically, this is "subset sum" problem)
 Example

• Weights (62,93,26,52,166,48,91,141)

• Problem: Find subset that sums to S = 302

• Answer: 62+26+166+48 = 302

The (general) knapsack is NP-complete

## Knapsack Problem

- General knapsack (GK) is hard to solve
- □ But superincreasing knapsack (SIK) is easy
- In SIK each weight greater than the sum of all previous weights
- Example
  - Weights (2,3,7,14,30,57,120,251)
  - Problem: Find subset that sums to S = 186
  - Work from largest to smallest weight
  - Answer: 120+57+7+2 = 186

# Knapsack Cryptosystem

- 1. Generate superincreasing knapsack (SIK)
- 2. Convert SIK into "general" knapsack (GK)
- 3. Public Key: GK
- 4. Private Key: SIK plus conversion factors
- Easy to encrypt with GK
- With private key, easy to decrypt (convert ciphertext to SIK)
- Without private key, must solve GK?

## Knapsack Cryptosystem

- Let (2,3,7,14,30,57,120,251) be the SIK
- Choose m = 41 and n = 491 with m and n relatively prime, n > sum of SIK elements
- General knapsack
  - $2 \cdot 41 \pmod{491} = 82$
  - $3 \cdot 41 \pmod{491} = 123$
  - $7 \cdot 41 \pmod{491} = 287$
  - $14 \cdot 41 \pmod{491} = 83$
  - $30 \cdot 41 \pmod{491} = 248$
  - $57 \cdot 41 \pmod{491} = 373$
  - $120 \cdot 41 \pmod{491} = 10$
  - $251 \cdot 41 \pmod{491} = 471$

General knapsack: (82,123,287,83,248,373,10,471)

## Knapsack Example

#### □ Private key: (2,3,7,14,30,57,120,251)m<sup>-1</sup> mod n = 41<sup>-1</sup> (mod 491) = 12

Delic key: (82,123,287,83,248,373,10,471), n=491

■ Example: Encrypt 10010110 82 + 83 + 373 + 10 = 548

To decrypt,

- o  $548 \cdot 12 = 193 \pmod{491}$
- Solve (easy) SIK with S = 193
- o Obtain plaintext 10010110

## Knapsack Weakness

- Trapdoor: Convert SIK into "general" knapsack using modular arithmetic
- One-way: General knapsack easy to encrypt, hard to solve; SIK easy to solve
- This knapsack cryptosystem is insecure
  - o Broken in 1983 with Apple II computer
  - o The attack uses lattice reduction
- "General knapsack" is not general enough!
- This special knapsack is easy to solve

#### Lattice Reduction

Many problems can be solved by finding a "short" vector in a lattice
 Let b<sub>1</sub>,b<sub>2</sub>,...,b<sub>n</sub> be vectors in R<sup>m</sup>
 All α<sub>1</sub>b<sub>1</sub>+α<sub>2</sub>b<sub>2</sub>+...+α<sub>n</sub>b<sub>n</sub>, each α<sub>i</sub> is an integer is a discrete set of points

#### What is a Lattice?

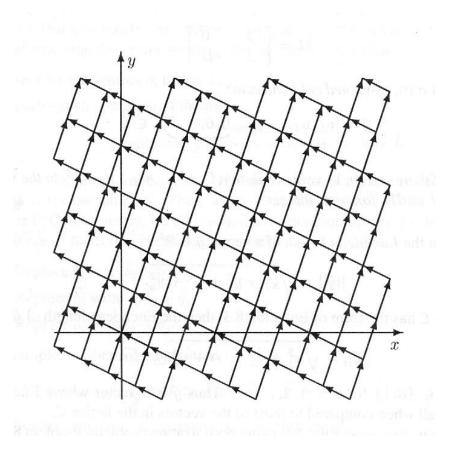
- □ Suppose  $b_1 = [1,3]^T$  and  $b_2 = [-2,1]^T$
- □ Then any point in the plane can be written as  $\alpha_1 b_1 + \alpha_2 b_2$  for some  $\alpha_1, \alpha_2 \in \Re$

o Since  $b_1$  and  $b_2$  are linearly independent

- □ We say the plane  $\Re^2$  is spanned by  $(b_1, b_2)$
- If α<sub>1</sub>,α<sub>2</sub> are restricted to integers, the resulting span is a lattice
- Then a lattice is a discrete set of points

#### Lattice Example

 Suppose b<sub>1</sub>=[1,3]<sup>⊤</sup> and b<sub>2</sub>=[-2,1]<sup>⊤</sup>
 The lattice spanned by (b<sub>1</sub>,b<sub>2</sub>) is pictured to the right



#### Exact Cover

- Exact cover given a set S and a collection of subsets of S, find a collection of these subsets with each element of S is in exactly one subset
- Exact Cover is a combinatorial problems that can be solved by finding a "short" vector in lattice

## Exact Cover Example

- □ Set S = {0,1,2,3,4,5,6}
- Spse m = 7 elements and n = 13 subsets
  - Subset:
     0
     1
     2
     3
     4
     5
     6
     7
     8
     9
     10
     11
     12

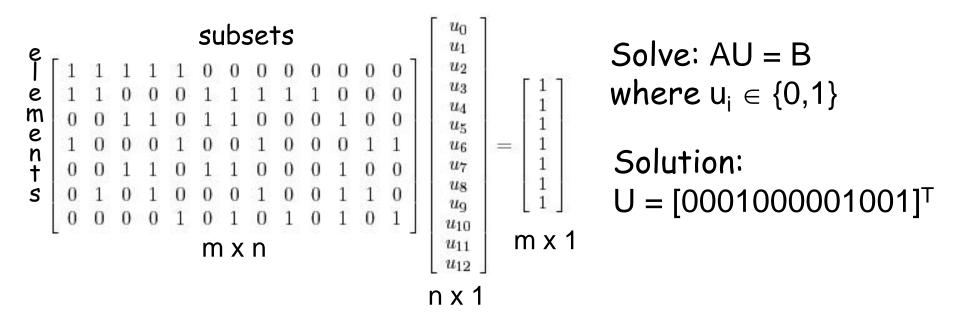
     Elements:
     013
     015
     024
     025
     036
     124
     126
     135
     146
     1
     256
     345
     346
- Find a collection of these subsets with each element of S in exactly one subset
- □ Could try all 2<sup>13</sup> possibilities
- □ If problem is too big, try heuristic search
- Many different heuristic search techniques

#### Exact Cover Solution

#### Exact cover in matrix form

• Set  $S = \{0, 1, 2, 3, 4, 5, 6\}$ 

Spse m = 7 elements and n = 13 subsets
Subset: 0 1 2 3 4 5 6 7 8 9 10 11 12
Elements: 013 015 024 025 036 124 126 135 146 1 256 345 346



#### Example

We can restate AU = B as MV = W where

$$\begin{bmatrix} I_{n \times n} & 0_{n \times 1} \\ A_{m \times n} & -B_{m \times 1} \end{bmatrix} \begin{bmatrix} U_{n \times 1} \\ 1_{1 \times 1} \end{bmatrix} = \begin{bmatrix} U_{n \times 1} \\ 0_{m \times 1} \end{bmatrix} \iff AU = B$$
  
Matrix M Vector V Vector W

The desired solution is U

Columns of M are linearly independent

Let c<sub>0</sub>,c<sub>1</sub>,c<sub>2</sub>,...,c<sub>n</sub> be the columns of M
Let v<sub>0</sub>,v<sub>1</sub>,v<sub>2</sub>,...,v<sub>n</sub> be the elements of V
Then W = v<sub>0</sub>c<sub>0</sub> + v<sub>1</sub>c<sub>1</sub> + ... + v<sub>n</sub>c<sub>n</sub>

## Example

- Let L be the lattice spanned by C<sub>0</sub>,C<sub>1</sub>,C<sub>2</sub>,...,C<sub>n</sub> (C<sub>i</sub> are the columns of M)
   Recall MV = W
- o Where W = [U,0]<sup>T</sup> and we want to find U
   o But if we find W, we have also solved it!
   Note W is in lattice L since all v<sub>i</sub> are integers and W = v<sub>0</sub>c<sub>0</sub> + v<sub>1</sub>c<sub>1</sub> + ... + v<sub>n</sub>c<sub>n</sub>

#### Facts

 $\Box$  W = [u<sub>0</sub>, u<sub>1</sub>, ..., u<sub>n-1</sub>, 0, 0, ..., 0]  $\in$  L, each u<sub>i</sub>  $\in$  {0, 1} □ The length of a vector  $Y \in \Re^N$  is  $||Y|| = sqrt(y_0^2 + y_1^2 + ... + y_{N-1}^2)$ Then the length of W is  $||W|| = sqrt(u_0^2 + u_1^2 + ... + u_{n-1}^2) \le sqrt(n)$ So W is a very short vector in L where • First n entries of W all 0 or 1 Last m elements of W are all 0 Can we use these facts to find U?

#### Lattice Reduction

If we can find a short vector in L, with first n entries all 0 or 1 and last m entries all 0, then we might have found U

• Easy to test putative solution

- LLL lattice reduction algorithm will efficiently find short vectors in a lattice
- Less than 30 lines of pseudo-code for LLL!
- No guarantee LLL will find a specific vector
- But probability of success is often good

## Knapsack Example

- What does lattice reduction have to do with the knapsack cryptosystem?
- Suppose we have
  - o Superincreasing knapsack

S = [2,3,7,14,30,57,120,251]

- Suppose m = 41,  $n = 491 \Rightarrow m^{-1} = 12 \pmod{n}$
- Public knapsack:  $t_i = 41 \cdot s_i \pmod{491}$

 $\mathsf{T} = [82, 123, 287, 83, 248, 373, 10, 471]$ 

□ Public key: T Private key: (S,m<sup>-1</sup>,n)

## Knapsack Example

- □ Public key: T Private key: (S,m<sup>-1</sup>,n)
  - S = [2,3,7,14,30,57,120,251]
  - $\mathsf{T} = [82, 123, 287, 83, 248, 373, 10, 471]$
  - $n = 491, m^{-1} = 12$
- Example: 10010110 is encrypted as 82+83+373+10 = 548
- Then receiver computes 548 · 12 = 193 (mod 491) and uses S to solve for 10010110

## Knapsack LLL Attack

Attacker knows public key T = [82, 123, 287, 83, 248, 373, 10, 471]Attacker knows ciphertext: 548  $\Box$  Attacker wants to find  $u_i \in \{0,1\}$  s.t.  $82u_0 + 123u_1 + 287u_2 + 83u_3 + 248u_4 + 373u_5 + 10u_6 + 471u_7 = 548$ This can be written as a matrix equation (dot product):  $T \cdot U = 548$ 

#### Knapsack LLL Attack

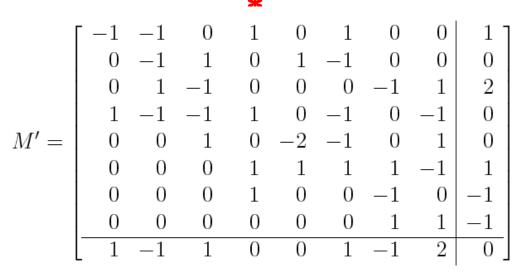
- □ Attacker knows: T = [82,123,287,83,248,373,10,471]
- □ Wants to solve:  $T \cdot U = 548$  where each  $u_i \in \{0,1\}$ 
  - Same form as AU = B on previous slides
  - We can rewrite problem as MV = W where

$$M = \begin{bmatrix} I_{8\times8} & 0_{8\times1} \\ T_{1\times8} & -C_{1\times1} \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ \hline 82 & 123 & 287 & 83 & 248 & 373 & 10 & 471 & -548 \end{bmatrix}$$

LLL gives us short vectors in the lattice spanned by the columns of M

#### LLL Result

LLL finds short vectors in lattice of M
 Matrix M' is result of applying LLL to M



Column marked with "\*" has the right form
 Possible solution: U = [1,0,0,1,0,1,1,0]<sup>T</sup>
 Easy to verify this is the plaintext!